



WilkinGuttenplan

CPAs & Advisors

EMPLOYEE BENEFIT PLAN AUDITS

At WilkinGuttenplan, we understand the unique requirements of the employee benefit plan audit and the challenges faced by plan administrators to ensure it will meet the Department of Labor and ERISA requirements, protecting you from potential penalties that could arise.

Using a proactive approach, developed during our years of experience, we work with third-party administrators, investment advisors, record-keepers, attorneys, and, of course, plan sponsors, to provide audit services that exceed your expectations. Our WG Employee Benefit Plan audit team is knowledgeable in issues relating to new plans, plans first meeting the requirement to be audited, as well as plans in termination situations.

But a quality audit of your 401(k) or other employee benefit plan goes beyond the numbers, and at WilkinGuttenplan, we look into the operations of your plan and its compliance with regulatory requirements, offering tailored solutions to meet your needs.

We also keep up to date with the latest developments in the employee benefit plan arena by attending training specific to plan audit and compliance issues, with particular focus on factors that impact the accounting, financial reporting, and tax implications of plans.

Also, we understand that timeliness is essential, and the implications of late filings are significant. Therefore, our WG Employee Benefit Plan audit team will complete your audit as quickly as possible while performing the necessary financial and compliance audit steps. As part of our efficiencies, we utilize a paperless environment, where possible, to better serve you while still maintaining the highest in quality standards.

PRACTICE AREA HIGHLIGHTS

- Extensive experience with the Employee Retirement Income Security Act (ERISA) regulations.
- Serve retirement plan clients in diverse industries (including real estate, medical, and technology) for plans ranging from 100 to over 500 participants and \$1.5 to more than \$50 million in plan assets.
- Membership in the AICPA Employee Benefit Plan Audit Quality Center – a voluntary membership organization designed to promote the quality of employee benefit plan audits. Membership requirements include ongoing training, successful peer review, adherence to quality standards, and experience.
- Membership in the New Jersey Society of Certified Public Accountants' Audit and Accounting Interest Group – Provides a forum for New Jersey CPAs to discuss and increase their knowledge of audit and accounting issues covering all audit and accounting practice areas.